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Dated: April 25, 2005

Signature: Michael J. Doherty

(Michael J. Doherty)

Docket No.: SPINE 3.0-436 CIP CIP CIP I
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ralph et al.

Patent No.: 6,863,688 ✓

Confirmation No.: 9649

Issued: March 8, 2005

Art Unit: 3738

For: INTERVERTEBRAL SPACER DEVICE
UTILIZING A SPIRALLY SLOTTED
BELLEVILLE WASHER HAVING
RADIALY SPACED CONCENTRIC
GROOVES

Examiner: B. Pellegrino

NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

MS Post Issue
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Assignee, SpineCore, Inc., hereby notifies the Patent and Trademark Office that it is not entitled to status as a small entity, and that the claim for small entity status filed by the Applicant is hereby withdrawn.

Dated: April 25, 2005

Respectfully submitted,

By Michael J. Doherty

Michael J. Doherty

Registration No.: 40,592

LERNER, DAVID, LITTENBERG,
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Attorney for Applicant



Approved for use through 11/30/2005 OMB 0651-0035
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REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS	Application Number	6,863,688
	Filing Date	March 8, 2005
	First Named Inventor	James D. Ralph
	Art Unit	3738
	Examiner Name	B. Pellegrino
	Attorney Docket Number	SPINE 3.0-436 CIP CIP CIP I

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name	Joseph P. Errico, SpineCore, Inc.		
Signature			
Date	9/21/04	Telephone	908-522-3460

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of 1 forms are submitted.



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: James D. Ralph and Stephen Tatar

Application No./Patent No.: 6,863,688 Filed/Issue Date: March 8, 2005

Entitled: INTERVERTEBRAL SPACER DEVICE UTILIZING A SPIRALLY SLOTTED BELLEVILLE WASHER HAVING RADially SPACED CONCENTRIC GROOVES

SpineCore, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Ralph et al. To: SpineCore, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 012258, Frame 0034, or for which a copy thereof is attached.
2. From: SpineCore, LLC To: Third Millennium Engineering, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 012850, Frame 0992, or for which a copy thereof is attached.
3. From: Third Millennium Engineering, LLC To: SpineCore, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 014344, Frame 0937, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Michael J. Doherty
Signature

April 25, 2005
Date

MICHAEL J. DOHERTY
Printed or Typed Name

(908) 518-6337
Telephone Number

Authorized Signer for Assignee
Title



PTO/SB/123 (09-04)

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CHANGE OF CORRESPONDENCE ADDRESS Patent Address to: MS Post Issue Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Patent Number	6,863,688
	Issue Date	March 8, 2005
	Application Number	09/975,471
	Filing Date	October 11, 2001
	First Named Inventor	James D. Ralph
	Attorney Docket Number	SPINE 3.0-436 CIP CIP CIP I

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Signature <i>Michael J. Doherty</i>		
Typed or Printed Name Michael J. Doherty		
Date	April 25, 2005	Telephone (908) 518-6337
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.		
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